

DECLARATION FOR PATENT APPLICATION

English Language Declaration

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DISPOSABLE SPACER FOR SYMMETRIC AND ASYMMETRIC SCHOTTKY CONTACT TO SOI
MOSFET

the specification of which
(check one)

- ☒ is attached hereto.
☐ was filed on _____, as United States Patent Application Serial No. or PCT
International Application Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Not Claimed

(Number) (Country) (Day/Month/Year Filed)

☐

(Number) (Country) (Day/Month/Year Filed)

☐

(Number) (Country) (Day/Month/Year Filed)

☐

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

(Application Serial No.) (Filing Date)

(Application Serial No.) (Filing Date)

(Application Serial No.) (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.) (Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.) (Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: *(list name and registration number)*

Mark F. Chadurjian, Reg. No. 3-0,739; Richard M. Kotulak, Reg. No. 27,712; James M. Leas, Reg. No. 34,372; William D. Sabo, Reg. No. 27,465; Eugene L. Shkurko, Reg. No. 36,678; Robert A. Walsh, Reg. No. 26,516; Howard J. Walter, Jr., Reg. No. 24,832; all of INTERNATIONAL BUSINESS MACHINES CORPORATION; Elliott I. Pollock, Reg. No. 16,906, George Vande Sande, Reg. No. 17,276, Burton A. Amernick, Reg. No. 24,852, Stanley B. Green, Reg. No. 24,351, Richard Wiener, Reg. No. 18,741, Townsend M. Belser, Jr., Reg. No. 22,956; Morris Liss, Reg. No. 24,510, Martin Abramson, Reg. No. 25,787, George R. Pettit, Reg. No. 27,369, Elzbieta Chlopecka, Reg. No. 32,767, Eric J. Franklin, Reg. No. 37,134, Jeffri Kaminsky, Reg. No. 42,709, and William E. Curry, Reg. No. 43,572; all of POLLOCK, VANDE SANDE & AMERNICK; John E. Hoel, Reg. No. 26,279, Christopher A. Hughes, Reg. No. 26,914, Edward A. Pennington, Reg. No. 32,588; Joseph C. Redmond, Jr., Reg. No. 18,753; all of MORGAN & FINNEGAN, L.L.P.

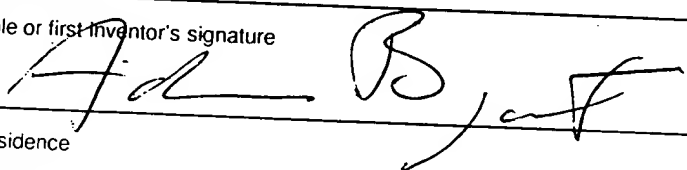
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Pollock, Vande Sande & Amernick, R.L.L.P.
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and Direct Telephone Calls to: *(name and registration number)*

Jeffri Kaminsky
Reg. No. 27,369

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Andres Bryant	
Sole or first inventor's signature	
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Citizenship	
United States	
Post Office Address	
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EXPRESS CERTIFICATE OF MAILING EL046616876US

IBM DOCKET NO: BU9-99-055

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Jerome B. Lasky

Second inventor's signature

Date

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890 North Hillside Lake Road, Wappingers Falls, New York 12590

PATENT

Attorney Docket No. BU9-99-055 (1806/00067)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andres BRYANT et al.

Serial No.: 09/425,394

Filed: October 19, 1999

For: DISPOSABLE SPACER FOR
 SYMMETRIC AND ASYMMETRIC
 SCHOTTKY CONTACT TO SOI MOSFET)

Group Art Unit: 2823

Examiner: W. Coleman

Commissioner for Patents
 Washington, D.C. 20231

APPOINTMENT OF ASSOCIATE ATTORNEY AND/OR AGENT

I, Burton A. Amernick, Reg. No. 24,852, hereby appoint Connolly Bove Lodge & Hutz LLP of Wilmington, Delaware, and their attorneys/agents as listed below, as Associate Attorney and/or Agent as provided in 37 C.F.R. § 1.34 to transact all business with the U.S. Patent and Trademark Office in connection with the above application and any continuation, division, and/or continuation-in-part thereof, and hereby ratify any and all acts done prior to such appointment in connection with the above application.

Rudolf E. Hutz	Reg. No. 22,397
John D. Fairchild	Reg. No. 19,756
Harold Pezzner	Reg. No. 22,112
Richard M. Beck	Reg. No. 22,580
Paul E. Crawford	Reg. No. 24,397
Patricia Smink Rogowski	Reg. No. 33,791
Robert G. McMorrow, Jr.	Reg. No. 30,962
Ashley I. Pezzner	Reg. No. 35,646
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Mary W. Bourke	Reg. No. 30,982

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Serial No. 09/425,394

Attorney Docket No. BU9-99-055 (1806/00067)

Gerard M. O'Rourke
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Francis DiGiovanni
Eric J. Evain
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Elliot C. Mendelson
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Daniel J. Harbison

Reg. No. 39,794
Reg. No. 40,408
Reg. No. 37,310
Reg. No. 42,517
Reg. No. 45,897
Reg. No. 42,878
Reg. No. 40,634
Reg. No. 47,631

Respectfully submitted,

Date: June 12, 2001

By: 

Burton A. Amernick
Reg. No. 24,852

Attorney of Record
(202) 331-7111

EXPRESS CERTIFICATE OF MAILING EL046616876US

IBM DOCKET: BU9-99-055

ASSIGNMENT

Whereas, We,

Andres Bryant
County of ChittendenCity of Essex Junction
and state of VermontJerome B. Lasky
County of ChittendenCity of Essex Junction
and state of VermontEffendi Leobandung
County of DutchessCity of Wappingers Falls
and state of New YorkDominic J. Schepis
County of DutchessCity of Wappingers Falls
and state of New York

have invented certain improvements in

**DISPOSABLE SPACER FOR SYMMETRIC AND ASYMMETRIC SCHOTTKY
CONTACT TO SOI MOSFET**

and have executed, respectively, a United States patent application therefor on

- (1) _____
- (2) _____
- (3) Effendi Leobandung EL 10/14/99
- (4) 10/15/99

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, We the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent

granted upon the invention set forth in said application to IBM, its successors and assigns, and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED:

(1) signed at
on

Andres Bryant

(2) signed at
on

Jerome B. Lasky

(3) signed at
on

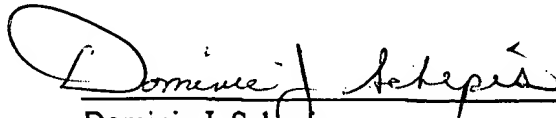
Hopewell Junction, NY
10/14/99



Effendi Leobandung

(4) signed at
on

East Fishkill NY
10/15/99



Dominic J. Schepis

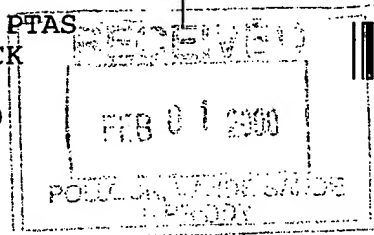
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 27, 2000

POLLOCK, VANDE SANDE & AMERNICK
EDWARD G. FAETH
1990 M STREET, N.W., SUITE 800
WASHINGTON, D.C. 20036



101187322A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/22/1999

REEL/FRAME: 010338/0325
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BRYANT, ANDRES

DOC DATE: 10/11/1999

ASSIGNOR:
LASKY, JEROME B.

DOC DATE: 10/20/1999

ASSIGNOR:
LEOBANDUNG, EFFENDI

DOC DATE: 10/14/1999

ASSIGNOR:
SCHEPIS, DOMINIC

DOC DATE: 10/15/1999

ASSIGNEE:
INTERNATIONAL BUSINESS MACHINES
CORPORATION
ARMONK, NEW YORK 10504

SERIAL NUMBER: 09425394
PATENT NUMBER:

FILING DATE: 10/22/1999
ISSUE DATE:

010338/0325 PAGE 2

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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Records

P.

To the Assistant Commissioner for Patent

11-01-1999

U.S. Department of Commerce
Patent and Trademark Office

reof.

101187322

1. Name of conveying party(ies)

Andres Bryant
Jerome B. Lasky
Effendi Leobandung
Dominic J. Schepis

2. Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504Addit'l. name(s) & address(es) attached? ☐ Yes ☒ NoAddit'l. name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement
☐ Change of name
☐ Other

Execution Date: 10/11/99, 10/20/99, 10/14/99, 10/15/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/11/99, 10/20/99

A. Patent Application No.(s)

B. Patent No.(s)

10/14/99, 10/15/99

Additional numbers attached? ☐ Yes ☒ No

09/ 425394

5. Name and address of party to whom correspondence concerning document should be mailed:

Edward G. Faeth
Pollock, Vande Sande & Amernick
Suite 800
1990 M Street, N.W.
Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed
☐ Authorized to be charged to deposit account
☒ Charge deficiencies/credit overpayments to Deposit Account 09-04568. Deposit Account No: 09-0456
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 10/20/99

Eugene I. Shkurko
Reg. No. 36,678 (802) 769-8832

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11/01/1999 DNGUYEN 00000019 090456 09425394 Commissioner of Patents and Trademarks

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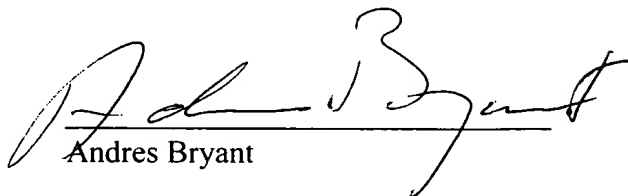
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granted upon the invention set forth in said application to IBM, its successors and assigns, and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

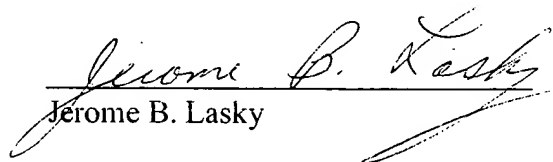
SIGNED:

(1) signed at *Essex Jct*
on *10/11/99*



Andres Bryant

(2) signed at *Essex Jct.*
on *10/20/99*



Jerome B. Lasky

(3) signed at
on

Effendi Leobandung

(4) signed at
on

Dominic J. Schepis